

AKIMA-DTSV 10 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pierre HIRSBRUNNER et al. Confirmation No.: 7190
Application No.: 09/902,555 Group Art Unit:
Filing Date: July 10, 2001 Examiner:
For: AQUEOUS COMPOSITION FOR RENDERING A SUBSTRATE HYDROPHOBIC Attorney Docket No.: 81358-200

REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A Filing Receipt for the above-identified application has been received and the following corrections are respectfully requested.


Please change the city address of applicant Pierre Hirsbrunner from "Corseau" to -- Corseaux --. A copy of the Declaration is attached hereto in support thereof.

This application is entitled to small entity status, as evidenced by the Verified Statement (Declaration) Claiming Small Entity Status filed with the Request for Refund on August 3, 2001. A copy of the Verified Statement is attached hereto in support thereof.

No fee is believed to be due for this submission. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

Respectfully submitted,

March 10, 2005
Date


E. Bradley Gould (Reg. No. 41,792)
For: Allan A. Panucci (Reg. No. 30,256)

WINSTON & STRAWN LLP
Customer No. 28765
202-371-5771

DC:405346.1



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/902,555	07/10/2001	1782	710	81358-200	0	16	1

28765
WINSTON & STRAWN
PATENT DEPARTMENT
1400 L STREET, N.W.
WASHINGTON, DC 20005-3502

CONFIRMATION NO. 7190

REPLACEMENT FILING RECEIPT



OC000000009021920

Date Mailed: 10/28/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Corseaux
Pierre Hirsbrunner, *Corseaux* SWITZERLAND;
Ian Horman, Blonay, SWITZERLAND;

Domestic Priority data as claimed by applicant

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 01109290.5 04/17/2001

If Required, Foreign Filing License Granted 08/23/2001

Projected Publication Date: 10/17/2002

Non-Publication Request: No

Early Publication Request: No

* SMALL ENTITY *

Title

Aqueous composition for rendering a substrate hydrophobic

Preliminary Class

DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AQUEOUS COMPOSITION FOR RENDERING A SUBSTRATE HYDROPHOBIC

and for which a patent application

- ☒ which is identified by docket No. 81358-200
☐ which was filed on _____, as Application No..

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION				
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
01109290.5	Europe	April 17, 2001	YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE

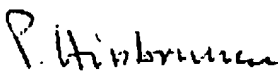
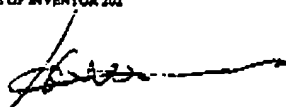
I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became

* for use only when the application is assigned to a company, partnership or other organization.

available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME HIRSBRUNNER	FIRST NAME Pierre	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Corseaux x	STATE OR FOREIGN COUNTRY Switzerland	COUNTRY OF CITIZENSHIP Switzerland	
	POST OFFICE ADDRESS	STREET Cornalles 27, 1802	CITY Corseaux x	STATE OR COUNTRY Switzerland	ZIP CODE
	SIGNATURE OF INVENTOR 201 			DATE July 5th 2001	
2 0 2	FULL NAME OF INVENTOR	LAST NAME HORMAN	FIRST NAME Ian	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Blonay	STATE OR FOREIGN COUNTRY Switzerland	COUNTRY OF CITIZENSHIP UK	
	POST OFFICE ADDRESS	STREET Chemin de Baye 22B, 1807	CITY Blonay	STATE OR COUNTRY Switzerland	ZIP CODE
	SIGNATURE OF INVENTOR 202 			DATE July 5th 2001	

Express Mail No. <u>EL 805348080 US</u>	First Class Mail ()
Date Mailed: <u>August 3, 2001</u>	
Application No.: <u>09/902,555</u>	Filed: <u>July 10, 2001</u>
Applicant(s): <u>Pierre HIRSCHBRUNNER et al.</u>	
Title: <u>AQUEOUS COMPOSITION FOR RENDERING A SUBSTRATE HYDROPHOBIC</u>	
 (1) REQUEST FOR REFUND (2) VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS	
Other: <u>OFFICE</u>	
Client/Matter No.: <u>81358-200</u>	Sender: <u>AAF/la</u>

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DIVISION
10-6 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pierre HIRSBRUNNER et al.

Application No.: 09/902,555

Group Art Unit:

Filing Date: July 10, 2001

Examiner:

For: AQUEOUS COMPOSITION FOR
RENDERING A SUBSTRATE HYDROPHOBIC

Attorney Docket No.: 81358-200

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 CFR 1.27(a)(2) - Small Business Concern]

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby declare that I am

- ☒ the owner of the small business concern identified below:
- ☐ an official of the small business concern empowered to act
in behalf of the concern identified below:

Jacques Vlonnet
Chemin de la Tour-de-Pinchat 5
1234 Vessy
Switzerland

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.27(a)(2), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention entitled AQUEOUS COMPOSITION FOR RENDERING A SUBSTRATE HYDROPHOBIC by Pierre HIRSBRUNNER and Ian HORMAN, described in application no. 09/902,555 filed July, 10, 2001

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

FULL NAME: Jacques Vlonnet
ADDRESS: Chemin de la Tour-de-Pinchat 5, 1234 Vessy, Switzerland

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.27 (g)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to: Allan A. Fanucci
WINSTON & STRAWN
200 Park Avenue
New York, NY 10166-491

Direct Telephone calls to:
WINSTON & STRAWN
212-294-3311

Name of Person Signing: Jacques Vlonnet
Title other than Owner:
Address of Signer: Chemin de la Tour-de-Pinchat 5
1234 Vessy
Switzerland

Signature: _____

Date: 24/7/01

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities.

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